

Evaluation and Plan for Improvement

Given the responses to the self-study items on the previous pages, complete the responses below to: (1) indicate (with yes or no) whether each part of the operating principle exists in the athletics program, and (2) evaluate whether the activities of the athletics program are in substantial conformity with the entire operating principle as a whole. [Note: In completing this assessment, make sure that all relevant information from the other three certification areas is considered, given that some overlap does exist.]

For Operating Principles 4.3 (Student-Athlete Welfare) and 4.4 (Sportsmanship and Ethical Conduct): Where the institution concludes in its evaluation that it does not conform to the operating principle as a whole or to any particular element(s) or that problems or deficiencies exist in this area, outline the institution's specific plan for improvement, which include/meet the following required elements: (a) in writing, (b) developed through broad-based campus participation, (c) issues/problems identified in the self-study, (d) measurable goals the institution intends to attain to address the issues/problems, (e) steps to achieve the goals, (f) the specific timetable for completing the work, (g) individuals/offices responsible for carrying out the actions, and (h) institutional approval.

4.1 Gender Issues

	Currently Yes	Currently No	If Currently No or If Deficiencies Exist, Indicate Improvement Number
Has the institution: a. Implemented its approved gender-equity plan from the previous self-study?	X		
b. Provided an explanation from appropriate institutional authorities if its gender-equity plan was modified or not fully carried out?	X		
c. Demonstrated that it is committed to, and has progressed toward, fair and equitable treatment of both male and female student-athletes and athletic personnel?	X		

d. Formally adopted a written plan for the future for the intercollegiate athletics program that ensures the institution maintains a program, or continues progress toward a program, which is equitable for both genders?	X		
e. Developed a plan that includes measurable goals the institution intends to achieve, steps the institution will take to achieve those goals, persons responsible and timetables?	X		
	YES	NO	
On the basis of the yes/no answers above, is the institution in substantial conformity with Operating Principle 4.1 (Gender Issues)?	X		

4.2 Minority Issues

	Currently Yes	Currently No	If Currently No or If Deficiencies Exist, Indicate Improvement Number
Has the institution: a. Implemented its approved minority-opportunities plan from the previous self-study?	X		
b. Provided an explanation from appropriate institutional authorities if its minority-opportunities plan was modified or not carried out fully?	X		
c. Demonstrated that it is committed to, and has progressed toward fair and equitable treatment of all minority student-athletes and Department of Intercollegiate Athletics personnel?	X		
d. Formally adopted a written plan for the future for the intercollegiate athletics program that ensures the institution			

maintains a program, or continues progress toward a program, which expands opportunities and support for minority student-athletes and athletics personnel?	X		
e. Developed a plan that includes measurable goals the institution intends to achieve, steps the institution will take to achieve those goals, persons responsible and timetable?	X		
	YES	NO	
On the basis of the yes/no answers above, is the institution in substantial conformity with Operating Principle 4.2 (Minority Issues)?	X		

4.3 Student-Athlete Welfare

	Currently Yes	Currently No	If Currently No or If Deficiencies Exist, Indicate Improvement Number
Does the institution: a. Demonstrate a commitment to the fair treatment of student-athletes, particularly in their academic role as students?	X		
b. Provide evidence that the welfare of student-athletes and the fairness of their treatment is monitored, evaluated and addressed on a continuing basis?	X		
c. Have established grievance or appeal procedures available to student-athletes in appropriate areas?	X		
d. Provide evidence that the institution has in place programs that protect the health of and provide a safe environment for its student-athletes?	X		

	YES	NO	
On the basis of the yes/no answers above, is the institution in substantial conformity with Operating Principle 4.3 (Student-athlete Welfare)?	X		

4.4 Sportsmanship and Ethical Conduct

	Currently Yes	Currently No	If Currently No or If Deficiencies Exist, Indicate Improvement Number
Does the institution: a. Demonstrate that in the area of intercollegiate athletics, it is committed to these fundamental values of sportsmanship and ethical conduct?	X		
b. Have established a set of written policies and procedures for this area?	X		
c. Demonstrate that educational activities related to sportsmanship and ethical conduct exist for individuals and groups associated with the intercollegiate athletics experience?		X	Cross-reference with Equity Plan - Appendix IV-B, Principles XV-XVII
d. Provide evidence that the effectiveness of activities in this area are monitored, evaluated and addressed on a continuing basis?		X	Cross-reference with Equity Plan - Appendix IV-B, Principles XV-XVII
	YES	NO	
On the basis of the yes/no answers above, is the institution in substantial conformity with Operating Principle 4.4 (Sportsmanship and Ethical Conduct)?	X		