

Evaluation and Plan for Improvement

Given the responses to the self-study items on the previous pages, complete the responses below to: (1) indicate (with yes or no) whether each part of the operating principle exists in the athletics program, and (2) evaluate whether the activities of the athletics program are in substantial conformity with the entire operating principle as a whole. [Note: In completing this assessment, make sure that all relevant information from the other three certification areas is considered, given that some overlap does exist.]

Where the institution concludes in its evaluation that it does not conform to the operating principle as a whole or to any particular element(s) or that problems or deficiencies exist in this area, outline the institution's specific plan for improvement, which include/meet the following required elements: (a) in writing, (b) developed through broad-based campus participation, (c) issues/problems identified in the self-study, (d) measurable goals the institution intends to attain to address the issues/problems, (e) steps to achieve the goals, (f) the specific timetable for completing the work, (g) individuals/offices responsible for carrying out the actions, and (h) institutional approval.

1.1 Mission of the Athletics Program and the Institution

	Currently Yes	Currently No	If Currently No or If Deficiencies Exist, Indicate Improvement Number
a. Does the institution demonstrate that the mission and goals of the athletics program:			
(1) Relate clearly to the mission and goals of the institution?	X		
(2) Support the educational objectives, academic progress and general welfare of the student-athletes?	X		
(3) Support equitable opportunity for all students and staff, including women?	X		
(4) Support equitable opportunity for all students and staff who are minorities	X		
(5) Embrace the Association's principles of sportsmanship and ethical conduct?	X		

(6) Are widely circulated among the institution's internal and external constituencies?	X		
b. Does the institution demonstrate that the actual practices of the institution's athletics program are consistent with the athletics program mission and goals?	X		
	Yes	No	
On the basis of the yes/no answers above and the plans for correcting deficiencies below, is the institution in substantial conformity with Operating Principle 1.1(Mission of the Athletics Program and the Institution)?	X		

1.2 Institutional Control, Presidential Authority and Shared Responsibilities

	Currently Yes	Currently No	If Currently No or If Deficiencies Exist, Indicate Improvement Number
Does the institution demonstrate that:			
a. The institution's governing board provides oversight and broad policy formulation for intercollegiate athletics in a manner consistent with other units of the institution?	X		
b. The chief executive officer is assigned ultimate authority for the operation and personnel of the athletics program?	X		
c. Appropriate campus constituencies have the opportunity, under the purview of the chief executive officer, to provide input into the formulation of policies relating to the conduct of the athletics program and to review periodically the implementation of such policies?		X	#4

	Yes	No	
On the basis of the yes/no answers above and the plans for correcting deficiencies below, is the institution in substantial conformity with Operating Principle 1.2 (Institutional Control, Presidential Authority and Shared Responsibilities)?	X		

1.3 Rules Compliance

	Currently Yes	Currently No	If Currently No or If Deficiencies Exist, Indicate Improvement Number
Does the institution demonstrate that: a. It has in place a set of written policies and procedures that assign specific responsibilities in the areas of rules compliance, including assignment of direct accountability for rules compliance to the individual the chief executive officer assigns for the athletics program?		X	#1-3
b. In critical and sensitive areas, institutional compliance procedures provide for the regular participation of persons outside of the athletics program?		X	#4
c. Rules compliance is then subject to an ongoing educational effort?	X		
d. A clear and unambiguous commitment to rules compliance is a central element in all personnel matters for individuals involved in the intercollegiate athletics program?	X*		*See Improvement Plan #5 for one aspect of Operating Principle 1.3d.
e. At least once every three years, its rules compliance program is the subject of evaluation by an authority outside of the athletics department?	X		

	Yes	No	
On the basis of the yes/no answers above and the plans for correcting deficiencies below, is the institution in substantial conformity with Operating Principle 1.3 (Rules Compliance)?	X		