

# Lecture 28-29

## FM- Frequency Modulation

## PM - Phase Modulation

EE445-10

1

## FM and PM

for FM:

$$\theta(t) = D_f \int_{-\infty}^t m(\sigma) d\sigma \quad (5-36)$$

Relationship between  $m_f(t)$  and  $m_p(t)$ :

$$m_f(t) = \frac{D_p}{D_f} \left[ \frac{dm_p(t)}{dt} \right] \quad (5-37)$$

where the subscripts  $f$  and  $p$  denote frequency and phase, respectively. Similarly, if we have an FM signal modulated by  $m_f(t)$ , the corresponding phase modulation on this signal is

$$m_p(t) = \frac{D_f}{D_p} \int_{-\infty}^t m_f(\sigma) d\sigma \quad (5-38)$$

3

## FM and PM

### Representation of PM and FM Signals

Phase modulation (PM) and frequency modulation (FM) are special cases of angle-modulated signaling. In this kind of signaling the complex envelope is

$$g(t) = A_c e^{j\theta(t)} \quad (5-33)$$

Here the real envelope,  $R(t) = |g(t)| = A_c$ , is a constant, and the phase  $\theta(t)$  is a linear function of the modulating signal  $m(t)$ . However,  $g(t)$  is a nonlinear function of the modulation. Using Eq. (5-33), we find the resulting angle-modulated signal to be

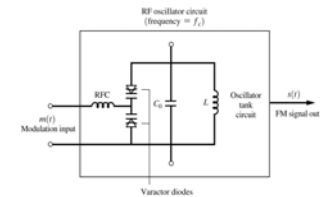
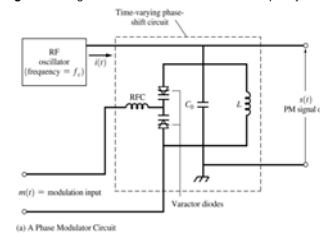
$$s(t) = A_c \cos[\omega_c t + \theta(t)] \quad (5-34)$$

$$\theta(t) = D_p m(t) \quad (5-35)$$

$D_p$  is the phase sensitivity or phase modulation constant

2

Figure 5-8 Angle modulator circuits. RFC = radio-frequency choke.



Couch, Digital and Analog Communication Systems, Seventh Edition

©2007 Pearson Education, Inc. All rights reserved. 0-13-142892-0

4

## FM and PM

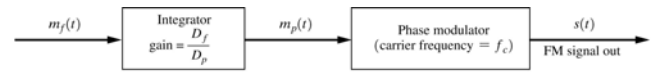
**DEFINITION.** If a bandpass signal is represented by

$$s(t) = R(t) \cos \psi(t)$$

where  $\psi(t) = \omega_c t + \theta(t)$ , then the *instantaneous* frequency (hertz) of  $s(t)$  is [Boashash, 1992]

$$f_i(t) = \frac{1}{2\pi} \omega_i(t) = \frac{1}{2\pi} \left[ \frac{d\psi(t)}{dt} \right]$$

## FM and PM

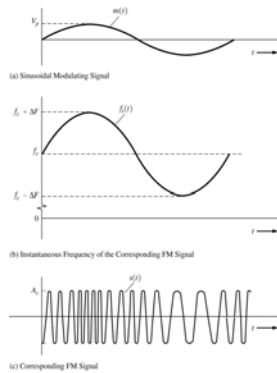


(a) Generation of FM Using a Phase Modulator



(b) Generation of PM Using a Frequency Modulator

Figure 5-9 FM with a sinusoidal baseband modulating signal.



## FM and PM differences

PM:

$$\theta(t) = D_p m(t) \Rightarrow \text{phase is proportional to } m(t)$$

FM:

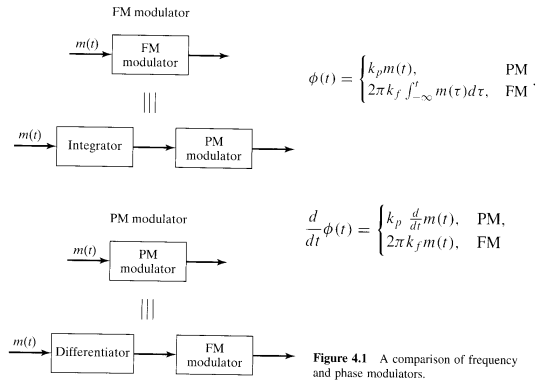
$$\theta(t) = D_f \int_{-\infty}^t m(\alpha) d\alpha$$

instantaneous frequency deviation from the carrier is proportional to  $m(t)$

$$f_i(t) - f_c = D_f m(t) \Rightarrow$$

$$\text{Modulation Constants} \left\{ \begin{array}{l} D_p = K_p \Rightarrow \frac{\text{radians}}{\text{volt}} \\ D_f = K_f \Rightarrow \frac{\text{Hz}}{\text{volt}} \end{array} \right.$$

## FM from PM PM from FM



9

## FM and PM Signals

Maximum phase deviation in PM:

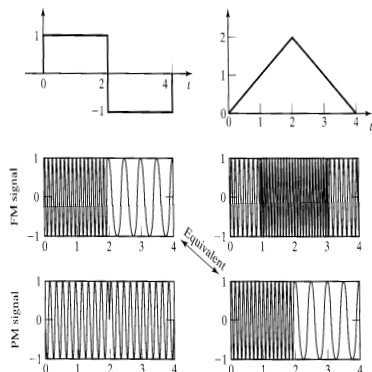
$$\Delta\phi_{\max} = k_p \max[|m(t)|],$$

Maximum frequency deviation in FM:

$$\Delta f_{\max} = k_f \max[|m(t)|].$$

11

## FM from PM PM from FM



**Figure 4.2** Frequency and phase modulation of square and sawtooth waves.

10

## Example

Let  $m(t) = a \cos(2\pi f_m t)$

For PM  $\phi(t) = k_p m(t) = k_p a \cos(2\pi f_m t)$ ,

For FM  $\phi(t) = 2\pi k_f \int_{-\infty}^t m(\tau) d\tau = \frac{k_f a}{f_m} \sin(2\pi f_m t)$ .

$$u(t) = \begin{cases} A_c \cos(2\pi f_c t + k_p a \cos(2\pi f_m t)), & \text{PM} \\ A_c \cos\left(2\pi f_c t + \frac{k_f a}{f_m} \sin(2\pi f_m t)\right), & \text{FM} \end{cases}$$

Define the modulation indices:  $\beta_p = k_p a$      $\beta_f = \frac{k_f a}{f_m}$ ,

12

## Example

Define the modulation indices:

$$\beta_p = k_p a$$

$$\beta_f = \frac{k_f a}{f_m}$$

$$\beta_p = k_p \max[|m(t)|] \quad \beta_f = \frac{k_f \max[|m(t)|]}{W}$$

$$\beta_p = \Delta\phi_{\max}; \quad \beta_f = \frac{\Delta f_{\max}}{W}$$

13

## Spectrum Characteristics of FM

- FM/PM is exponential modulation

Let  $\phi(t) = \beta \sin(2\pi f_m t)$

$$u(t) = A_c \cos(2\pi f_c t + \beta \sin(2\pi f_m t)) \\ = \operatorname{Re}\left(A_c e^{j(2\pi f_c t + \beta \sin(2\pi f_m t))}\right)$$

$u(t)$  is periodic in  $f_m$   
we may therefore use the Fourier series

15

## Sine Wave Example

Then

$$u(t) = \begin{cases} A_c \cos(2\pi f_c t + \beta_p \cos(2\pi f_m t)), & \text{PM} \\ A_c \cos(2\pi f_c t + \beta_f \sin(2\pi f_m t)), & \text{FM} \end{cases}$$

14

## Spectrum Characteristics of FM

- FM/PM is exponential modulation

$$c(t) = A_c \cos(2\pi f_c t + \beta \sin(2\pi f_m t)) \\ = \operatorname{Re}\left(A_c e^{j(2\pi f_c t + \beta \sin(2\pi f_m t))}\right)$$

$c(t)$  is periodic in  $f_m$   
we may therefore use the Fourier series

16

## Spectrum Characteristics with Sinusoidal Modulation

$$e^{j\beta \sin 2\pi f_m t}$$

$u(t)$  is periodic in  $f_m$   
we may therefore use the Fourier series

$$c_n = f_m \int_0^{\frac{1}{f_m}} e^{j\beta \sin 2\pi f_m t} e^{-jn2\pi f_m t} dt$$

$$\stackrel{u=2\pi f_m t}{=} \frac{1}{2\pi} \int_0^{2\pi} e^{j\beta(\sin u - nu)} du.$$

17

## $J_n$ Bessel Function

$$J_n(\beta) \approx \frac{\beta^n}{2^n n!}, \quad J_{-n}(\beta) = \begin{cases} J_n(\beta), & n \text{ even} \\ -J_n(\beta), & n \text{ odd} \end{cases}$$

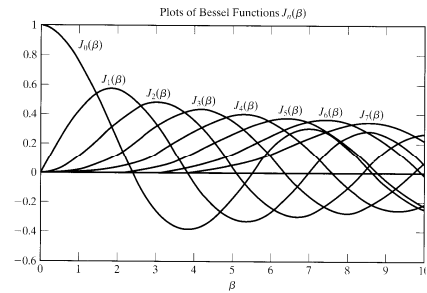


Figure 4.4 Bessel functions for various values of  $n$ .

19

## $J_n$ Bessel Function

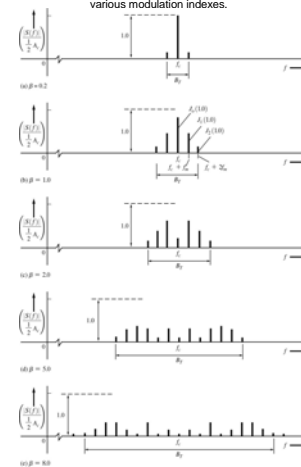
$$e^{j\beta \sin 2\pi f_m t} = \sum_{n=-\infty}^{\infty} J_n(\beta) e^{j2\pi n f_m t}$$

$$u(t) = \text{Re} \left( A_c \sum_{n=-\infty}^{\infty} J_n(\beta) e^{j2\pi n f_m t} e^{j2\pi f_c t} \right)$$

$$= \sum_{n=-\infty}^{\infty} A_c J_n(\beta) \cos(2\pi(f_c + n f_m)t).$$

18

Figure 5-11 Magnitude spectra for FM or PM with sinusoidal modulation for various modulation indexes.



stop 3/29

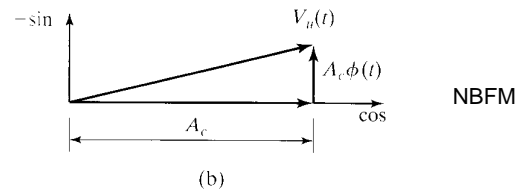
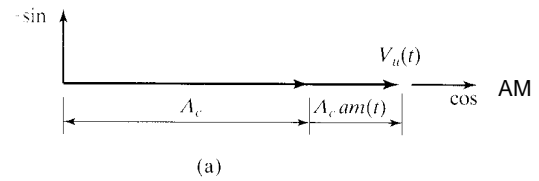
20

## Lecture 29 FM- Frequency Modulation PM - Phase Modulation (continued)

EE445-10

21

## Narrowband FM as a Phaser



23

## Narrowband FM

- Only the  $J_0$  and  $J_1$  terms are significant
- Same Bandwidth as AM
- Using Euler's identity, and  $\phi(t) \ll 1$ :

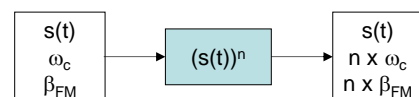
$$u(t) = A_c \cos 2\pi f_c t \cos \phi(t) - A_c \sin 2\pi f_c t \sin \phi(t)$$

$$\approx A_c \cos 2\pi f_c t - A_c \phi(t) \sin 2\pi f_c t,$$

Notice the sidebands are "sin", not "cos" as in AM

22

## Wideband FM from Narrowband FM



- The Output Carrier frequency =  $n \times f_c$
- The output modulation index =  $n \times \beta_c$
- The output bandwidth increases according to Carson's Rule

24

## Effective Bandwidth- Carson's Rule for Sine Wave Modulation

$B_c = 2(\beta + 1)f_m$ , Where  $\beta$  is the modulation index  
 $f_m$  is the sinusoidal modulation frequency

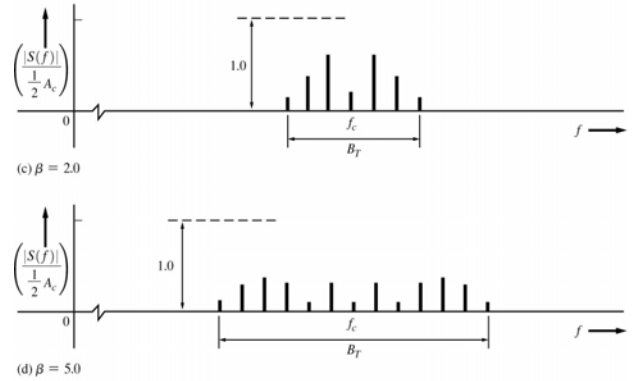
$$m(t) = a \cos(2\pi f_m t).$$

$$B_c = 2(\beta + 1)f_m = \begin{cases} 2(k_p a + 1)f_m, & \text{PM} \\ 2\left(\frac{k_f a}{f_m} + 1\right)f_m, & \text{FM} \end{cases}$$

- Notice for FM, if  $k_f a \gg f_m$ , increasing  $f_m$  does not increase  $B_c$  much
- $B_c$  is linear with  $f_m$  for PM

25

Figure 5-11 Magnitude spectra for FM or PM with sinusoidal modulation for various modulation indexes.

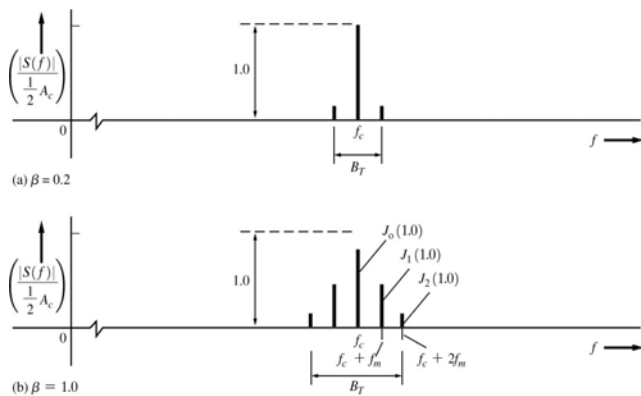


Couch, Digital and Analog Communication Systems, Seventh Edition

©2007 Pearson Education, Inc. All rights reserved. 0-13-142492-0

27

Figure 5-11 Magnitude spectra for FM or PM with sinusoidal modulation for various modulation indexes.

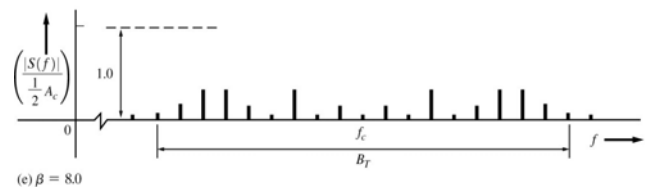


Couch, Digital and Analog Communication Systems, Seventh Edition

©2007 Pearson Education, Inc. All rights reserved. 0-13-142492-0

26

Figure 5-11 Magnitude spectra for FM or PM with sinusoidal modulation for various modulation indexes.



Couch, Digital and Analog Communication Systems, Seventh Edition

©2007 Pearson Education, Inc. All rights reserved. 0-13-142492-0

28

## When m(t) is a sum of sine waves

Consider now the case where  $m(t)$  is the sum of  $K$  separate sine waves. That is, let

$$m(t) = \sum_{i=1}^K C_i \cos(\omega_i t + \theta_i) \quad (2.4.14)$$

where  $C_i$ ,  $\omega_i$ , and  $\theta_i$  are the corresponding deviations, frequency, and phase angles. We then have

29

## Sideband Power

Signal Amplitude:  $A_c := 1V$

Modulating frequency:  $f_m := 1\text{KHz}$

Carrier peak deviation:  $\Delta f := 2.4\text{KHz}$

Modulation index:  $\beta := \frac{\Delta f}{f_m} \quad \beta = 2.4$

Reference equation:  $x(t) = \sum_{n=-\infty}^{\infty} [A_c J_n(n, \beta) \cos[(\omega_c + n\omega_m)t]]$

Power in the signal:  $P_c := \frac{A_c^2}{2 \cdot 1\Omega} \quad P_c = 0.5\text{ W}$

Carson's rule bandwidth:  $BW := 2(\beta + 1)f_m \quad BW = 6.8 \times 10^3 \frac{1}{s}$

Order of significant sidebands predicted by Carson's rule:  $n := \text{round}(\beta + 1) \quad n = 3$

Power as a function of number of sidebands:  $P_{\text{sum}(k)} := \sum_{n=-k}^k \frac{(A_c J_n(n, \beta))^2}{2 \cdot 1\Omega}$

Percent of power predicted by Carson's rule:  $\frac{P_{\text{sum}(n)}}{P_c} \cdot 100 = 99.118$

31

## When m(t) is a sum of sine waves

$$c(t) = A \cos \left[ \omega_c t + \sum_{i=1}^K \beta_i \sin(\omega_i t + \theta_i) + \psi \right]$$

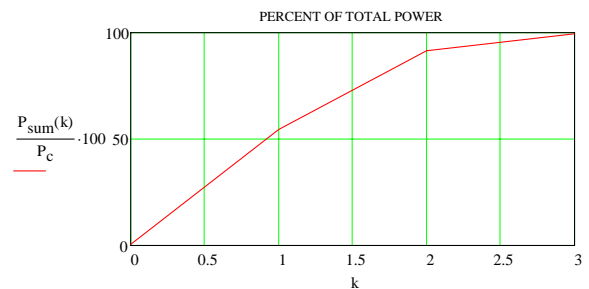
$$c(t) = A \sum_{k_1=-\infty}^{\infty} \cdots \sum_{k_K=-\infty}^{\infty} \left[ \prod_{i=1}^K J_{k_i}(\beta_i) \right] \cos \left[ \omega_c t + \sum_{i=1}^K k_i(\omega_i t + \theta_i) + \psi \right] \quad (2.4.16)$$

The preceding equation represents the general expression for the FM carrier modulated by  $K$  sinusoids. Note that it corresponds to a collection of harmonic frequencies at all the sidebands  $\sum_{i=1}^K k_i \omega_i$ , where all combinations of integers for the  $\{k_i\}$  must be considered. Each such combination  $\{k_1, k_2, \dots, k_K\}$  yields a different sinusoid, each with its own phase,

$\sum_{i=1}^K (k_i \theta_i + \psi)$  and its own amplitude  $\{A \prod_{i=1}^K J_{k_i}(\beta_i)\}$ . In particular, we note that the carrier component at  $\omega_c$  corresponds to  $k_1 = k_2 = \dots = k_K = 0$  and has amplitude  $\{A \prod_{i=1}^K J_0(\beta_i)\}$ , whereas the frequency component at frequency  $(\omega_c + \omega_j)$  corresponds to  $k_1 = 0, k_2 = 0, \dots, k_j = 1, k_{j+1} = 0, \dots, k_K = 0$  and has amplitude  $\{A J_1(\beta_j) \prod_{i=1, i \neq j}^K J_0(\beta_i)\}$ . We also note that the component at  $(\omega + \omega_j)$  contains the exact phase angle of the  $j$ th sine wave in (2.4.14) added to that of the carrier.

30

## Sideband Power



32



### Sideband Power

$$k := 0..10$$

$$J_k := J_n(k, \beta)$$

$$P_k := (J_k)^2$$

$$\beta = 2.4$$

$$n = 3$$

	0
0	$2.508 \cdot 10^{-3}$
1	0.52
2	0.431
3	0.198
4	0.064
5	0.016
6	$3.367 \cdot 10^{-3}$
7	$5.927 \cdot 10^{-4}$
8	$9.076 \cdot 10^{-5}$
9	$1.23 \cdot 10^{-5}$
10	$1.496 \cdot 10^{-6}$

J =

	0
0	$6.288 \cdot 10^{-6}$
1	0.271
2	0.186
3	0.039
4	$4.135 \cdot 10^{-3}$
5	$2.638 \cdot 10^{-4}$
6	$1.134 \cdot 10^{-5}$
7	$3.513 \cdot 10^{-7}$
8	$8.237 \cdot 10^{-9}$
9	$1.513 \cdot 10^{-10}$
10	$2.238 \cdot 10^{-12}$

P =

$$P_0 + 2 \cdot \sum_{j=1}^n P_j = 0.991$$

33

### Sideband Power

$$\beta := 0.6$$

$$n := 1$$

$$W_j := J_n(j, \beta)$$

$$X_j := (W_j)^2$$

$$W = \begin{pmatrix} 0.912 \\ 0.287 \\ 0.044 \\ 4.4 \times 10^{-3} \\ 3.315 \times 10^{-4} \\ 1.995 \times 10^{-5} \end{pmatrix} \quad X = \begin{pmatrix} 0.832 \\ 0.082 \\ 1.907 \times 10^{-3} \\ 1.936 \times 10^{-5} \\ 1.099 \times 10^{-7} \\ 3.979 \times 10^{-10} \end{pmatrix}$$

$$X_0 + 2 \cdot \sum_{j=1}^n X_j = 0.996$$

35

### Sideband Power

$$j := 0..5$$

$$\beta := 0.1$$

$$n := 1$$

$$V_j := J_n(j, \beta)$$

$$U_j := (V_j)^2$$

$$V = \begin{pmatrix} 0.998 \\ 0.05 \\ 1.249 \times 10^{-3} \\ 2.082 \times 10^{-5} \\ 2.603 \times 10^{-7} \\ 2.603 \times 10^{-9} \end{pmatrix} \quad U = \begin{pmatrix} 0.995 \\ 2.494 \times 10^{-3} \\ 1.56 \times 10^{-6} \\ 4.335 \times 10^{-10} \\ 6.775 \times 10^{-14} \\ 0 \end{pmatrix}$$

$$U_0 + 2 \cdot \sum_{j=1}^n U_j = 1$$

34

filename: fmsidebands.mcd  
avo 09/21/04  
last edit date: 2/27/07

FM/PM modulation index set to 2 for peak phase dev of 2  
set to  $\Delta f/f_m$  for frequency modulation. spectrum is the same for sinuswave modulation.

$$A_c := 1$$

$$f_c := 0 \cdot 10^4$$

79

$$F_m := 10^0 \quad \text{Modulating frequency- single sinuswave}$$

$$M := \frac{x}{10}$$

$$n := \text{round}(M + 1)$$

2 \* n is the number of significant sidebands per Carsons rule

$$n = 9$$

$$\text{Bandwidth} = 2 \cdot n \cdot F_m$$

$$\text{Modulation\_index } M$$

$$S(f) := A_c \left[ (J_0(M)) \delta(f, f_c) + \sum_{k=1}^n \left[ J_n(k, M) \delta(f, f_c + k \cdot F_m) + (-1)^k J_n(k, M) \delta(f, f_c - k \cdot F_m) \right] \right]$$

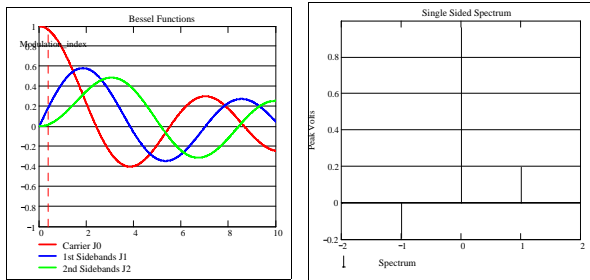
$$B(f) := \delta[f, f_c + (n+0) \cdot F_m] + \delta[f, f_c - n \cdot F_m] \quad f := f_c - (n+1) \cdot F_m, (f_c - n \cdot F_m), \dots, f_c + (n+1) \cdot F_m$$

$$\text{Bandwidth} = 18$$

$$\text{Modulation\_index } 7.9$$

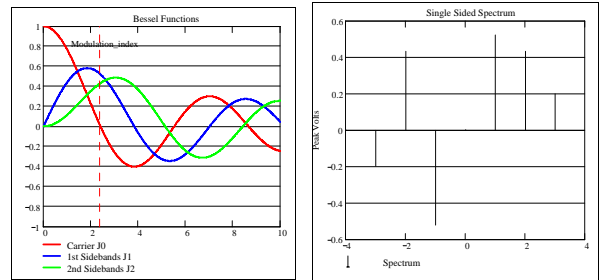
36

M=4, Sideband Level =M/2 for Narrowband FM



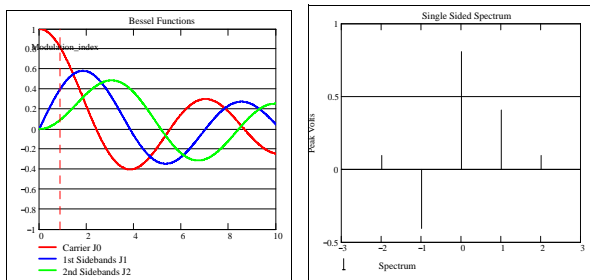
37

M=2.4, Carrier Null



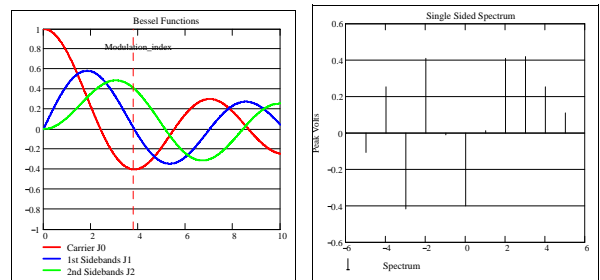
39

M=.9, Sideband Level =M/2 for Narrowband FM



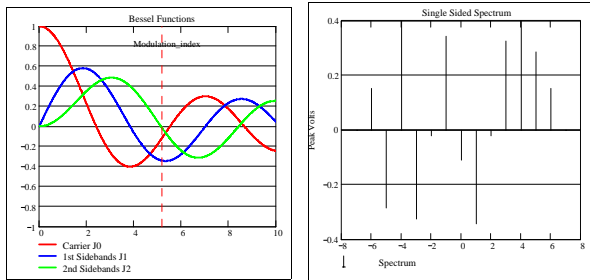
38

M=3.8, first sideband null



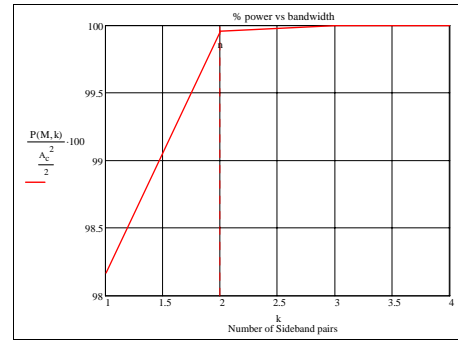
40

### M=5.1, second sideband null



41

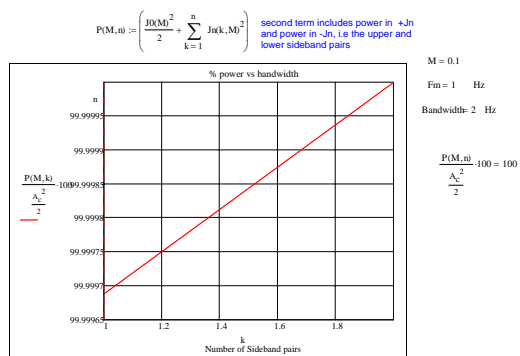
### Power vs BW, M=0.9



M = 0.9  
 Fm = 1 Hz  
 Bandwidth = 4 Hz  
 $\frac{P(M,n)}{\frac{A_c^2}{2}} \cdot 100 = 99.958$

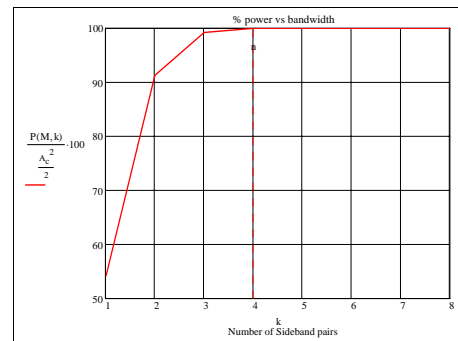
43

### Power vs BW, M=0.1



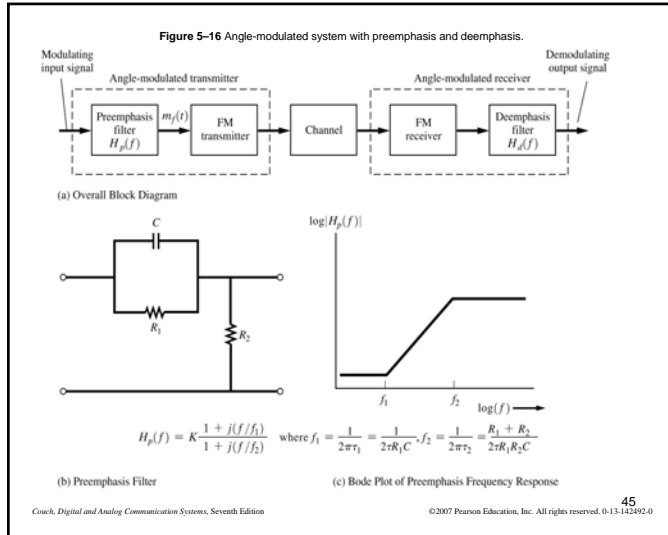
42

### Power vs BW, M=2.4



M = 2.4  
 Fm = 1 Hz  
 Bandwidth = 8 Hz  
 $\frac{P(M,n)}{\frac{A_c^2}{2}} \cdot 100 = 99.945$

44



## AM vs FM

- FM capture effect: A phenomenon, associated with FM reception, in which only the stronger of two signals at or near the same frequency will be demodulated.
  - The complete suppression of the weaker signal occurs at the receiver limiter, where it is treated as noise and rejected.
  - When both signals are nearly equal in strength, or are fading independently, the receiver may switch from one to the other.
- Bandwidth:  $B_{AM} = 2 \times f_m$ ,  $B_{FM} \geq 2 \times f_m$  use Carson's Rule
- The Receiver IF amplifier is change to a Limiting Amplifier for FM
  - FM rejects amplitude noise such as lightning and man made noise
- The FM demodulator may be a PLL, Ratio Detector, Foster Sealy Discriminator, or slope detector.

47

