

FM- Frequency Modulation PM - Phase Modulation

EELE445-14
Lecture 30



Mountains & Minds

DSB-SC, AM, FM and PM

DSB - SC Complex Envelope : $g(t) = A_c m(t)$

AM Complex Envelope : $g(t) = A_c (1 + m(t))$

SSB - SC Complex Envelope : $g(t) = A_c [m(t) \pm j\hat{m}(t)]$

PM Complex Envelope : $g(t) = A_c e^{jD_p m(t)}$

FM Complex Envelope : $g(t) = A_c e^{jD_f \int_{-\infty}^t m(\sigma) d\sigma}$



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FM and PM

$$g(t) = R(t)e^{j\theta(t)} = A_c e^{j\theta(t)} \text{ Complex Envelope}$$

$R(t) = |g(t)| = A_c$ *the real envelope is a constant*
 \rightarrow power is constant

Transmitted angle - modulated signal :

$$s(t) = \operatorname{Re}[g(t)e^{j\omega_c t}] = A_c \cos[\omega_c t + \theta(t)]$$



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FM and PM

Transmitted angle - modulated signal :

$$s(t) = \operatorname{Re}[g(t)e^{j\omega_c t}] = A_c \cos[\omega_c t + \theta(t)]$$

for PM : $\theta(t) = D_p m(t)$

D_p *phase sensitivity or modulation constant* $\frac{\text{rad}}{\text{volt}}$

for FM : $\theta(t) = D_f \int_{-\infty}^t m(\sigma) d\sigma$

D_f *frequency deviation or modulation constant* $\frac{\text{rad}}{\text{volt - sec}}$

$$D_f = 2\pi \frac{\text{Hz}}{\text{volt}}$$



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FM and PM

Relationship between $m_f(t)$ and $m_p(t)$:

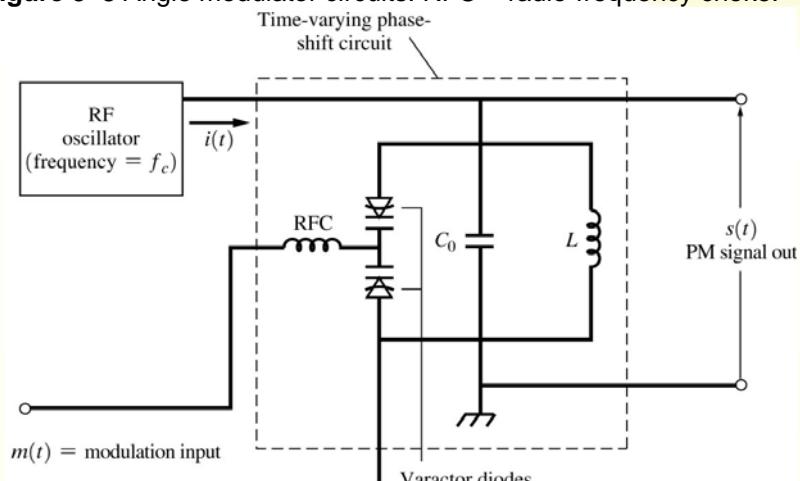
$$m_f(t) = \frac{D_p}{D_f} \left[\frac{dm_p(t)}{dt} \right]$$

$$m_p(t) = \frac{D_f}{D_p} \int_{-\infty}^t m_f(\sigma) d\sigma$$



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Figure 5–8 Angle modulator circuits. RFC = radio-frequency choke.



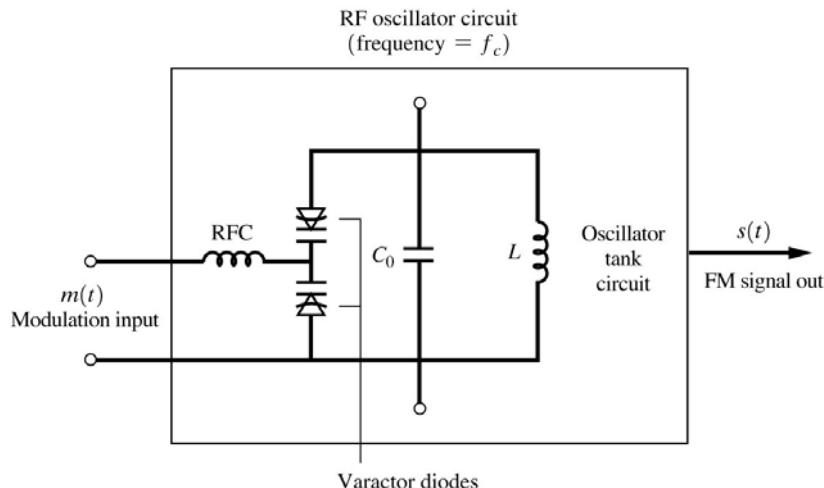
(a) A Phase Modulator Circuit



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Figure 5–8 Angle modulator circuits. RFC = radio-frequency choke.



(b) A Frequency Modulator Circuit



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Instantaneous Frequency

$$\begin{aligned}
 s(t) &= R(t) \cos \psi(t) \\
 &= A_c \cos \psi(t) \quad \text{FM or PM} \\
 &= A_c \cos(\omega_c t + \theta(t))
 \end{aligned}$$

The instantaneous frequency in Hz is :

$$f_i(t) = \frac{1}{2\pi} \omega_i(t) = \frac{1}{2\pi} \left[\frac{d\psi(t)}{dt} \right] = f_c + \frac{\dot{\theta}(t)}{2\pi}$$



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FM and PM differences

PM: instantaneous phase deviation of the carrier phase is proportional to the amplitude of $m(t)$

$$\theta(t) = D_p m(t) \text{ radians}$$

$$D_p \text{ in } \frac{\text{radians}}{\text{volt}}$$

- Modulation Constant
- Modulation sensitivity
- Phase sensitivity

Instantaneous phase in radians : $\psi(t) = \omega_c t + \theta(t) = \omega_c t + D_p m(t)$

$$\text{Instantaneous frequency in Hz} : f_i(t) = \frac{d\psi(t)}{2\pi dt} = f_c + \frac{\dot{\theta}(t)}{2\pi} = f_c + \frac{D_p \dot{m}(t)}{2\pi}$$



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FM and PM differences

FM: instantaneous frequency deviation from the carrier frequency is proportional to $m(t)$

$$\theta(t) = D_f \int_{-\infty}^t m(\alpha) d\alpha \text{ radians}$$

$$D_f \text{ in } \frac{\text{radians}}{\text{volt - sec}}$$

The instantaneous phase in radians : $\psi(t) = \omega_c t + \theta(t) = \omega_c t + D_f \int_{-\infty}^t m(\alpha) d\alpha$

$$\text{The instantaneous frequency in Hz} : f_i(t) = \frac{d\psi(t)}{2\pi dt} = f_c + \frac{\dot{\theta}(t)}{2\pi} = f_c + \frac{D_f m(t)}{2\pi}$$



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FM and PM differences

FM: instantaneous frequency deviation from the carrier frequency is proportional to $m(t)$

$$f_d(t) \equiv f_i(t) - f_c = \frac{1}{2\pi} \dot{\theta}(t) = \frac{1}{2\pi} D_f m(t)$$

Modulation Constants

$$\left. \begin{array}{l} D_p = K_p \Rightarrow \frac{\text{radians}}{\text{volt}} \\ D_f = K_f \Rightarrow \frac{\text{rad}}{\text{volt} - \text{sec}} = 2\pi \frac{\text{Hz}}{\text{volt}} \end{array} \right\}$$



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FM

$$\text{frequency deviation} \equiv f_d(t) = f_i(t) - f_c = \frac{1}{2\pi} \left[\frac{d\theta(t)}{dt} \right]$$

$$\underline{\text{peak frequency deviation}} \equiv \Delta F = \max \left\{ \frac{1}{2\pi} \left[\frac{d\theta(t)}{dt} \right] \right\} = \frac{1}{2\pi} D_f V_p$$

$$V_p = \max[m(t)]$$

$$\underline{\text{frequency modulation index}} \equiv \beta_f = \frac{\Delta F}{B} \quad B \text{ is the bandwidth of } m(t)$$



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PM and digital modulation

phase deviation $\equiv \theta(t)$

peak phase deviation $\equiv \Delta\theta = \max[\theta(t)] = D_p V_p$

$$V_p = \max[m(t)]$$

phase modulation index $\equiv \beta_p = \Delta\theta$

note :

when $m(t)$ is a sinusoidal signal set such that the PM and FM signals have the same peak frequency deviation, then $\beta_p = \beta_f$

For Digital signals the modulation index :

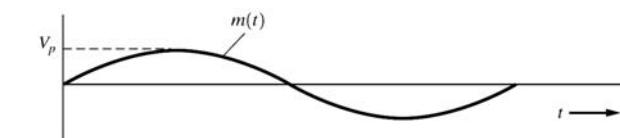
$$h \equiv \frac{2\Delta\theta}{\pi}$$

where $2\Delta\theta$ is the pk - pk phase change in one symbol duration, T_s

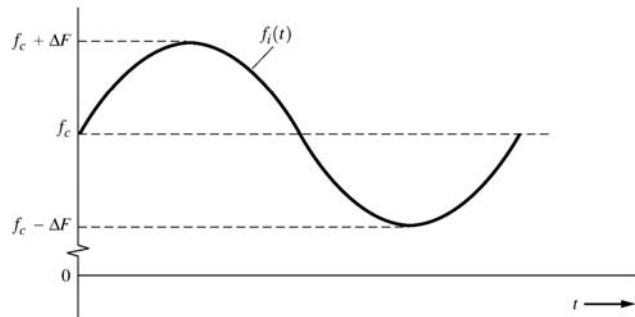


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Figure 5–9 FM with a sinusoidal baseband modulating signal.



(a) Sinusoidal Modulating Signal



(b) Instantaneous Frequency of the Corresponding FM Signal

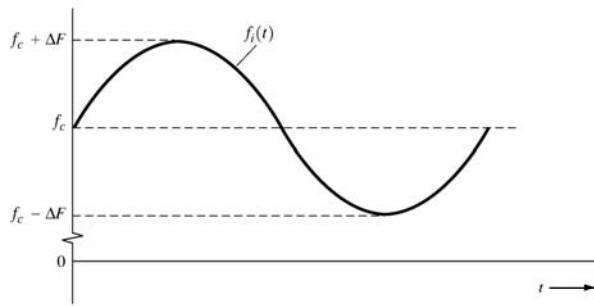


Cooley, Digital and Analog Communication Systems, Seventh Edition

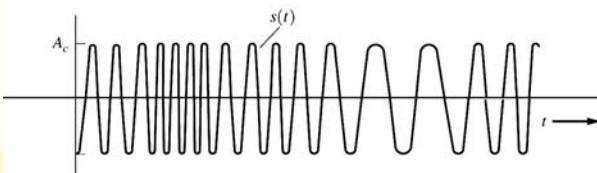
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Figure 5–9 FM with a sinusoidal baseband modulating signal.



(b) Instantaneous Frequency of the Corresponding FM Signal

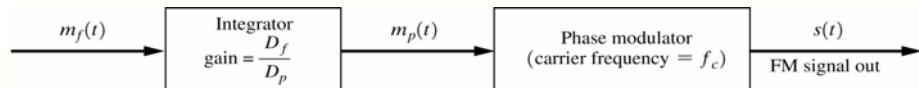


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(c) Corresponding FM Signal

FM from PM and PM from FM



(a) Generation of FM Using a Phase Modulator

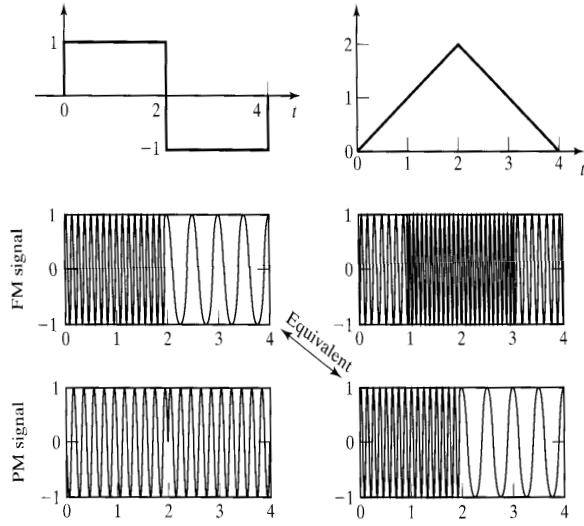


(b) Generation of PM Using a Frequency Modulator

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FM/PM s(t) waveforms



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FM and PM with $m(t)=\cos(2\pi f_m t)$

Let $m(t) = a \cos(2\pi f_m t)$

For PM $\phi(t) = k_p m(t) = k_p a \cos(2\pi f_m t)$,

$$\text{For FM } \phi(t) = 2\pi k_f \int_{-\infty}^t m(\tau) d\tau = \frac{k_f a}{f_m} \sin(2\pi f_m t).$$

$$u(t) = \begin{cases} A_c \cos(2\pi f_c t + k_p a \cos(2\pi f_m t)), & \text{PM} \\ A_c \cos(2\pi f_c t + \frac{k_f a}{f_m} \sin(2\pi f_m t)), & \text{FM} \end{cases}$$

Define the modulation indices:

$$\beta_p = k_p a \quad \beta_f = \frac{k_f a}{f_m},$$



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FM and PM Signals

Define the modulation indices:

$$\beta_p = k_p a$$

$$\beta_f = \frac{k_f a}{f_m},$$

$$\beta_p = k_p \max[|m(t)|]$$

$$\beta_f = \frac{k_f \max[|m(t)|]}{W}$$

$$\beta_p = \Delta\phi_{\max};$$

$$\beta_f = \frac{\Delta f_{\max}}{W}.$$



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FM and PM Signals

Then

$$u(t) = \begin{cases} A_c \cos(2\pi f_c t + \beta_p \cos(2\pi f_m t)), & \text{PM} \\ A_c \cos(2\pi f_c t + \beta_f \sin(2\pi f_m t)), & \text{FM} \end{cases}.$$



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Spectrum Characteristics of FM

- FM/PM is exponential modulation

Let $\phi(t) = \beta \sin(2\pi f_m t)$

$$\begin{aligned} u(t) &= A_c \cos(2\pi f_c t + \beta \sin(2\pi f_m t)) \\ &= \operatorname{Re}(A_c e^{j(2\pi f_c t + \beta \sin(2\pi f_m t))}) \end{aligned}$$

$u(t)$ is periodic in f_m
we may therefore use the Fourier series



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Spectrum Characteristics of FM

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Spectrum with Sinusoidal Modulation

$$g(t) = e^{j\beta \sin(2\pi f_m t)}$$

$u(t)$ is periodic in f_m
we may therefore use the Fourier series

$$\begin{aligned} c_n &= f_m \int_0^{\frac{1}{f_m}} e^{j\beta \sin 2\pi f_m t} e^{-jn2\pi f_m t} dt \\ &\stackrel{u=2\pi f_m t}{=} \frac{1}{2\pi} \int_0^{2\pi} e^{j\beta(\sin u - nu)} du. \end{aligned}$$



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J_n Bessel Function

$$e^{j\beta \sin 2\pi f_m t} = \sum_{n=-\infty}^{\infty} J_n(\beta) e^{j2\pi n f_m t}.$$

$$\begin{aligned} u(t) &= \operatorname{Re} \left(A_c \sum_{n=-\infty}^{\infty} J_n(\beta) e^{j2\pi n f_m t} e^{j2\pi f_c t} \right) \\ &= \sum_{n=-\infty}^{\infty} A_c J_n(\beta) \cos(2\pi(f_c + n f_m)t). \end{aligned}$$

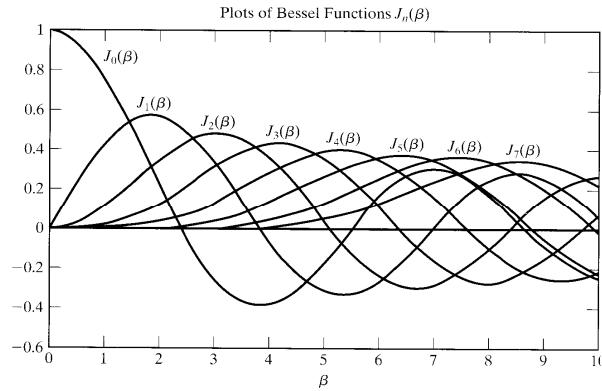


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J_n Bessel Function

$$J_n(\beta) \approx \frac{\beta^n}{2^n n!}.$$

$$J_{-n}(\beta) = \begin{cases} J_n(\beta), & n \text{ even} \\ -J_n(\beta), & n \text{ odd} \end{cases}$$



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Figure 4.4 Bessel functions for various values of n .

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TABLE 5–2 FOUR-PLACE VALUES OF THE BESSSEL FUNCTIONS $J_n(\beta)$



TABLE 5–2 FOUR-PLACE VALUES OF THE BESSSEL FUNCTIONS $J_n(\beta)$

$n \backslash \beta$	0.5	1	2	3	4	5	6	7	8	9	10
0	0.9385	0.7652	0.2239	-0.2601	-0.3971	-0.1776	0.1506	0.3001	0.1717	-0.09033	-0.2459
1	0.2423	0.4401	0.5767	0.3391	-0.06604	-0.3276	-0.2767	-0.004683	0.2346	0.2453	0.04347
2	0.03060	0.1149	0.3528	0.4861	0.3641	0.04657	-0.2429	-0.3014	-0.1130	0.1448	0.2546
3	0.002564	0.01956	0.1289	0.3091	0.4302	0.3648	0.1148	-0.1676	-0.2911	-0.1809	0.05838
4	0.0002477	0.03400	0.1320	0.2811	0.3912	0.3576	0.1578	-0.1054	-0.2655	-0.2196	
5		0.007040	0.04303	0.1321	0.2611	0.3621	0.3479	0.1858	-0.05504	-0.2341	
6		0.001202	0.01139	0.04909	0.1310	0.2458	0.3392	0.3376	0.2043	-0.01446	
7			0.002547	0.01518	0.05338	0.1296	0.2336	0.3206	0.3275	0.2167	
8				0.004029	0.01841	0.05653	0.1280	0.2235	0.3051	0.3179	
9					0.005520	0.02117	0.05892	0.1263	0.2149	0.2919	
10						0.001468	0.006964	0.02354	0.06077	0.1247	0.2075
11							0.002048	0.008335	0.02560	0.06222	0.1231
12								0.002656	0.009624	0.02739	0.06337
13									0.003275	0.01083	0.02897
14									0.001019	0.003895	0.01196
15										0.001286	0.004508
16											0.001567

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**TABLE 5–3 ZEROS OF BESSEL FUNCTIONS:
VALUES FOR β WHEN $J_n(\beta) = 0$**

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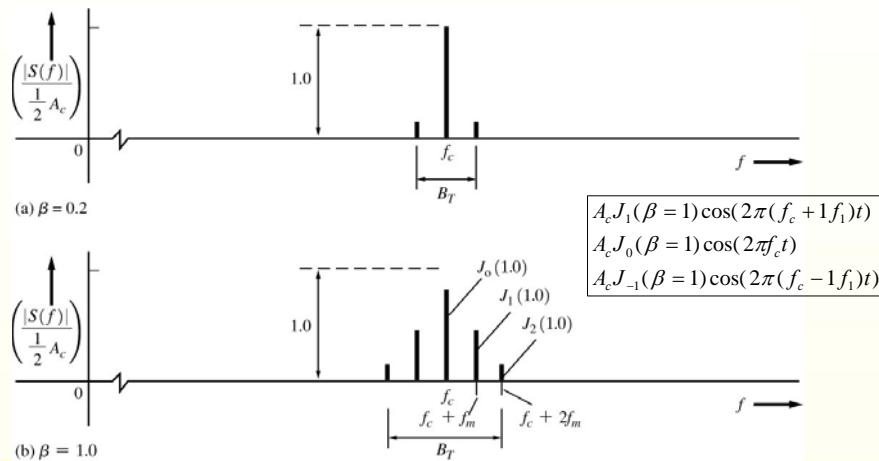
	Order of Bessel Function, n						
	0	1	2	3	4	5	6
β for 1st zero	2.40	3.83	5.14	6.38	7.59	8.77	9.93
β for 2nd zero	5.52	7.02	8.42	9.76	11.06	12.34	13.59
β for 3rd zero	8.65	10.17	11.62	13.02	14.37	15.70	17.00
β for 4th zero	11.79	13.32	14.80	16.22	17.62	18.98	20.32
β for 5th zero	14.93	16.47	17.96	19.41	20.83	22.21	23.59
β for 6th zero	18.07	19.61	21.12	22.58	24.02	25.43	26.82
β for 7th zero	21.21	22.76	24.27	25.75	27.20	28.63	30.03
β for 8th zero	24.35	25.90	27.42	28.91	30.37	31.81	33.23

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**Figure 5–11 Magnitude spectra for FM or PM with sinusoidal modulation
for various modulation indexes.**

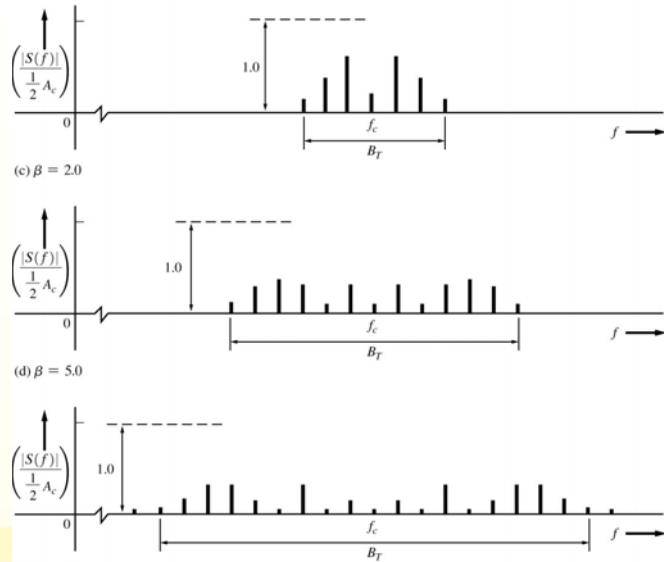


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Figure 5–11 Magnitude spectra for FM or PM with sinusoidal modulation for various modulation indexes.



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NBFM- Narrowband Frequency Modulation
WBFM - Wideband Frequency
Modulation Carson's Bandwidth Rule

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Narrowband FM

- Only the J_0 and J_1 terms are significant
- Same Bandwidth as AM
- Using Euler's identity, and $\phi(t) \ll 1$:

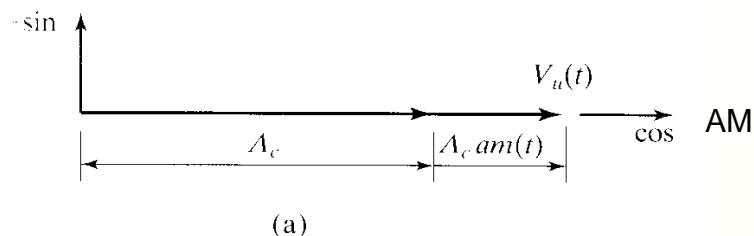
$$\begin{aligned} u(t) &= A_c \cos 2\pi f_c t \cos \phi(t) - A_c \sin 2\pi f_c t \sin \phi(t) \\ &\approx A_c \cos 2\pi f_c t - A_c \phi(t) \sin 2\pi f_c t, \end{aligned}$$

Notice the sidebands are "sin", not "cos" as in AM

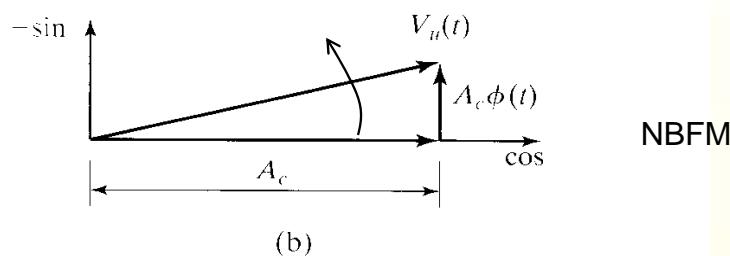


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Narrowband FM as a Phaser



(a)

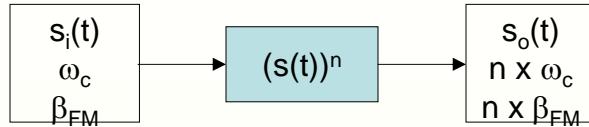


(b)



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Frequency Multiplication: Wideband FM from Narrowband FM



$$s_o(t) = \operatorname{Re}(e^{j\phi(t)} e^{j2\pi f_c t})^n = \operatorname{Re}(e^{jn\phi(t)} e^{j2\pi n f_c t})$$

$$n\phi(t) = nD_f \int_{-\infty}^t m(\lambda) d\lambda$$

$$\beta_{fmout} = n\beta_{f \min}$$

- The Output Carrier frequency = $n \times f_c$
- The output modulation index = $n \times \beta_{fm}$
- The output bandwidth increases according to Carson's Rule



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Effective Bandwidth- Carson's Rule for Sine Wave Modulation

$$B_c = 2(\beta + 1) f_m,$$

Where β is the modulation index f_m is the sinusoidal modulation frequency

$$m(t) = a \cos(2\pi f_m t).$$

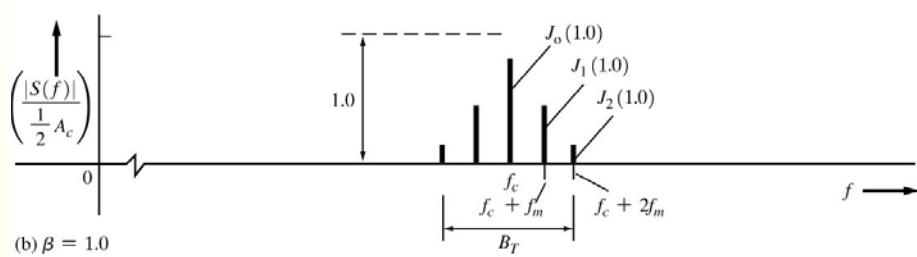
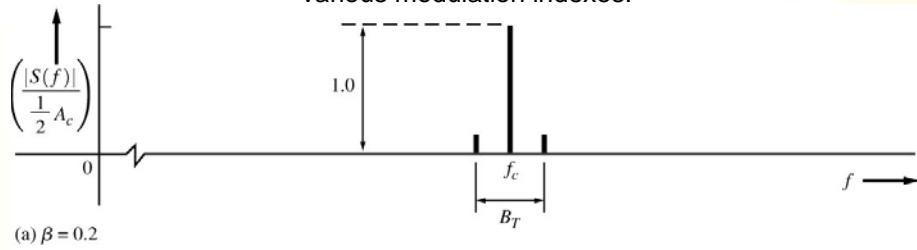
$$B_c = 2(\beta + 1) f_m = \begin{cases} 2(k_p a + 1) f_m, & \text{PM} \\ 2 \left(\frac{k_f a}{f_m} + 1 \right) f_m, & \text{FM} \end{cases}$$

- Notice for FM, if $k_f a \gg f_m$, increasing f_m does not increase B_c much
- B_c is linear with f_m for PM



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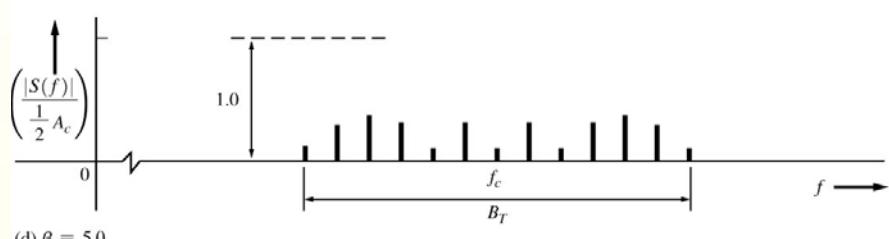
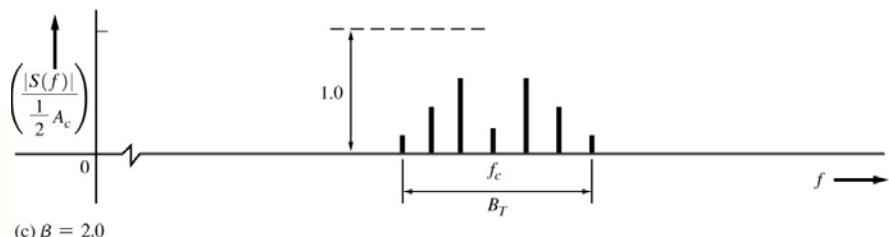
Figure 5–11 Magnitude spectra for FM or PM with sinusoidal modulation for various modulation indexes.



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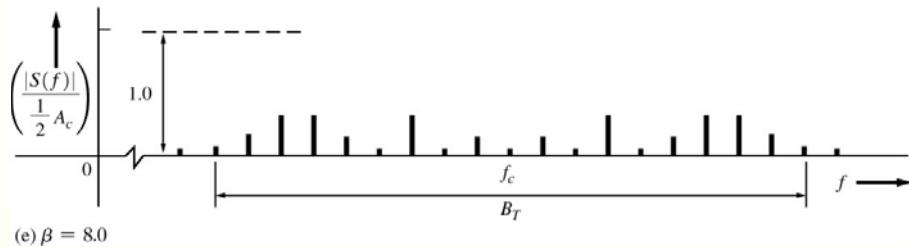
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When $m(t)$ is a sum of sine waves

Consider now the case where $m(t)$ is the sum of K separate sine waves. That is, let

$$m(t) = \sum_{i=1}^K C_i \cos(\omega_i t + \theta_i) \quad (2.4.14)$$

where C_i , ω_i , and θ_i are the corresponding deviations, frequency, and phase angles.



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When $m(t)$ is a sum of sine waves

$$c(t) = A \cos \left[\omega_c t + \sum_{i=1}^K \beta_i \sin (\omega_i t + \theta_i) + \psi \right]$$

$$c(t) = A \sum_{k_K=-\infty}^{\infty} \cdots \sum_{k_1=-\infty}^{\infty} \left[\prod_{i=1}^K J_{k_i}(\beta_i) \right] \cos \left[\omega_c t + \sum_{i=1}^K k_i (\omega_i t + \theta_i) + \psi \right]$$

(2.4.16)

The preceding equation represents the general expression for the FM carrier modulated by K sinusoids. Note that it corresponds to a collection of harmonic frequencies at all the sidebands $\sum_{i=1}^K k_i \omega_i$, where all combinations of integers for the $\{k_i\}$ must be considered. Each such combination $\{k_1, k_2, \dots, k_K\}$ yields a different sinusoid, each with its own phase,

$\sum_{i=1}^K (k_i \theta_i + \psi)$ and its own amplitude $\{A \prod_{i=1}^K J_{k_i}(\beta_i)\}$. In particular, we note that the carrier component at ω_c corresponds to $k_1 = k_2 = \dots = k_K = 0$ and has amplitude $\{A \prod_{i=1}^K J_0(\beta_i)\}$, whereas the frequency component at frequency $(\omega_c + \omega_j)$ corresponds to $k_1 = 0, k_2 = 0, \dots, k_j = 1, k_{j+1} = 0, \dots, k_K = 0$ and has amplitude $\{A J_1(\beta_j) \prod_{i=1, i \neq j}^K J_0(\beta_i)\}$. We also note that the component at $(\omega + \omega_j)$ contains the exact phase angle of the j th sine wave in (2.4.14) added to that of the carrier.



Sideband Power

Signal Amplitude: $A_c := 1V$

Modulating frequency: $f_m := 1KHz$

Carrier peak devection: $\Delta f := 2.4 KHz$

Modulation index: $\beta := \frac{\Delta f}{f_m}$ $\beta = 2.4$

Reference equation: $x(t) = \sum_{n=-\infty}^{\infty} [A_c \cdot J_n(n, \beta) \cdot \cos((\omega_c + n \cdot \omega_m) \cdot t)]$

Power in the signal: $P_c := \frac{A_c^2}{2 \cdot 1\Omega}$ $P_c = 0.5 W$

Carsons rule bandwidth: $BW := 2(\beta + 1) \cdot f_m$ $BW = 6.8 \times 10^3 \frac{1}{s}$

Order of significant sidebands predicted by Carsons rule: $n := \text{round}(\beta + 1)$ $n = 3$

Power as a function of number of sidebands: $P_{\text{sum}}(k) := \sum_{n=-k}^k \frac{(A_c \cdot J_n(|n|, \beta))^2}{2 \cdot 1\Omega}$

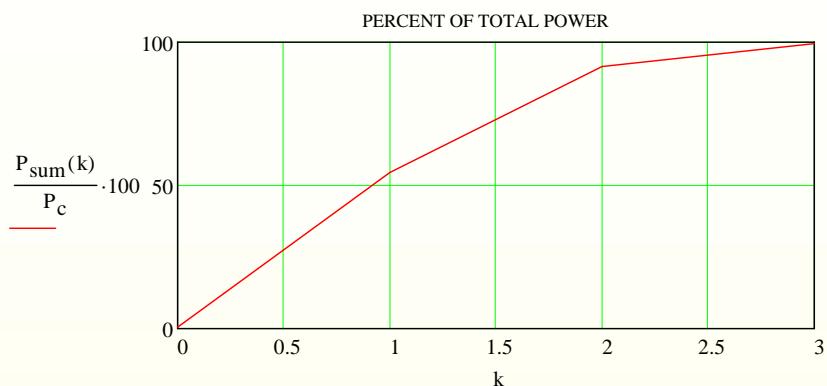


Percent of power predicted by Carsons rule:

$$\frac{P_{\text{sum}}(n)}{P_c} \cdot 100 = 99.118$$

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Power vs Bandwidth



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Sideband Power $\beta=2.4$

$$k := 0..10$$

$$J_k := J_n(k, \beta)$$

$$P_k := (J_k)^2$$

$$\beta = 2.4$$

$$n = 3$$

	0
0	$2.508 \cdot 10^{-3}$
1	0.52
2	0.431
3	0.198
4	0.064
5	0.016
6	$3.367 \cdot 10^{-3}$
7	$5.927 \cdot 10^{-4}$
8	$9.076 \cdot 10^{-5}$
9	$1.23 \cdot 10^{-5}$
10	$1.496 \cdot 10^{-6}$

	0
0	$6.288 \cdot 10^{-6}$
1	0.271
2	0.186
3	0.039
4	$4.135 \cdot 10^{-3}$
5	$2.638 \cdot 10^{-4}$
6	$1.134 \cdot 10^{-5}$
7	$3.513 \cdot 10^{-7}$
8	$8.237 \cdot 10^{-9}$
9	$1.513 \cdot 10^{-10}$
10	$2.238 \cdot 10^{-12}$

$$P_0 + 2 \cdot \sum_{j=1}^n P_j = 0.991$$



Mountains & Minds

Sideband Power $\beta=0.1$

$$j := 0..5 \quad \beta := 0.1 \quad n := 1$$

$$v_j := J_n(j, \beta) \quad u_j := (v_j)^2$$

$$V = \begin{pmatrix} 0.998 \\ 0.05 \\ 1.249 \times 10^{-3} \\ 2.082 \times 10^{-5} \\ 2.603 \times 10^{-7} \\ 2.603 \times 10^{-9} \end{pmatrix} \quad U = \begin{pmatrix} 0.995 \\ 2.494 \times 10^{-3} \\ 1.56 \times 10^{-6} \\ 4.335 \times 10^{-10} \\ 6.775 \times 10^{-14} \\ 0 \end{pmatrix} \quad U_0 + 2 \cdot \sum_{j=1}^n u_j = 1$$



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Sideband Power $\beta=0.6$

$$\beta := 0.6 \quad n := 1$$

$$w_j := J_n(j, \beta) \quad x_j := (w_j)^2$$

$$W = \begin{pmatrix} 0.912 \\ 0.287 \\ 0.044 \\ 4.4 \times 10^{-3} \\ 3.315 \times 10^{-4} \\ 1.995 \times 10^{-5} \end{pmatrix} \quad X = \begin{pmatrix} 0.832 \\ 0.082 \\ 1.907 \times 10^{-3} \\ 1.936 \times 10^{-5} \\ 1.099 \times 10^{-7} \\ 3.979 \times 10^{-10} \end{pmatrix} \quad X_0 + 2 \cdot \sum_{j=1}^n x_j = 0.996$$



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filename: fmsidebands.mcd
 avo 09/21/04
 last edit date:2/27/07

$A_c := 1$
 $f_c := 1 \cdot 10^4$

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FM/PM modulation index set to $\pi/2$ for peak phase dev of $\pi/2$
 set to $\Delta f/f_m$ for frequency modulation. spectrum is the same for sinewave modulation.

$F_m := 10^0$ Modulating frequency- single sinewave

$M := \frac{x}{10}$
 $n := \text{round}(M + 1)$ **2 * n is the number of significant sidebands per Carson's rule**
 $n = 9$ Bandwidth:= $2 \cdot n \cdot F_m$ Modulation_index= M

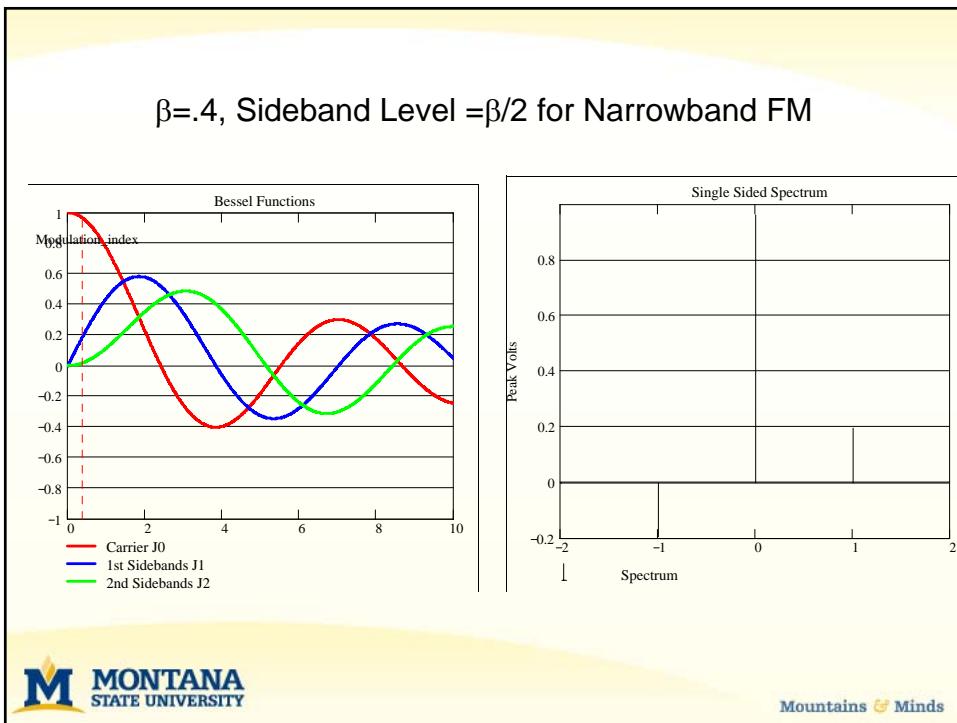
$$S_i(f) := A_c \left[(J_0(M)) \cdot \delta(f, f_c) + \sum_{k=1}^n \left[J_n(k, M) \cdot \delta(f, (f_c + k \cdot F_m)) + (-1)^k J_n(k, M) \cdot \delta(f, (f_c - k \cdot F_m)) \right] \right]$$

$$B(f) := \delta(f, f_c + (n + 0) \cdot F_m) + \delta(f, f_c - n \cdot F_m) \quad f := f_c - (n + 1) \cdot F_m, (f_c - n \cdot F_m) \dots [f_c + (n + 1) \cdot F_m]$$

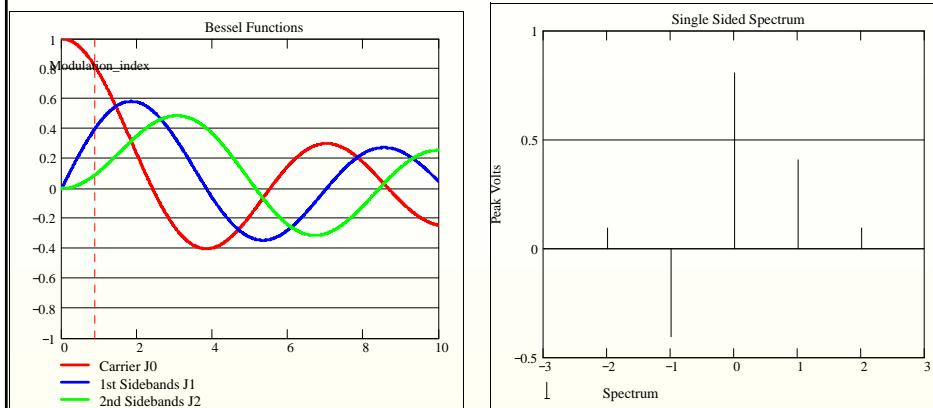
Bandwidth= 18 Modulation_index= 7.9

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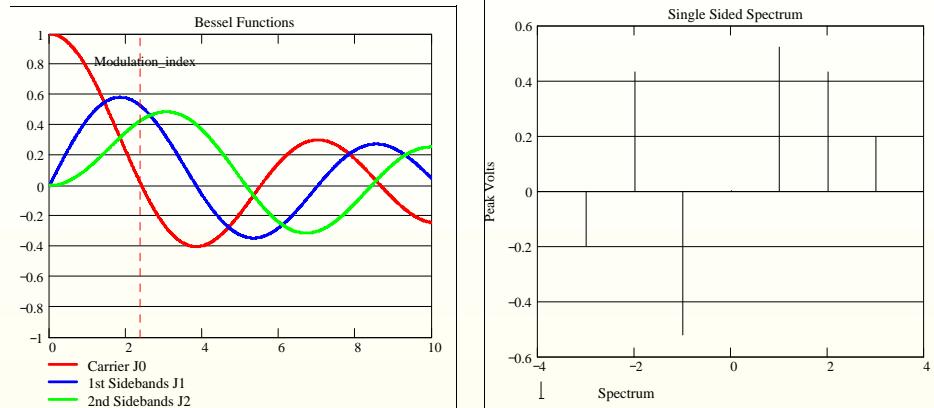


$\beta=.9$, Sideband Level = $\beta/2$ for Narrowband FM



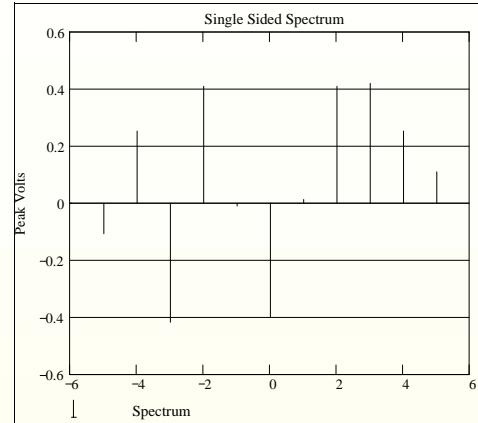
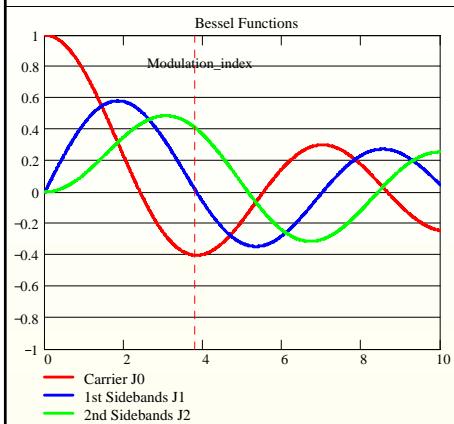
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$\beta=2.4$, Carrier Null



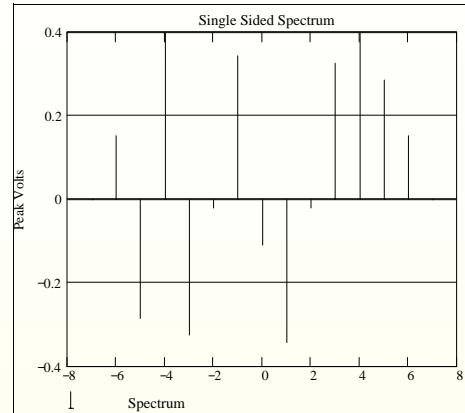
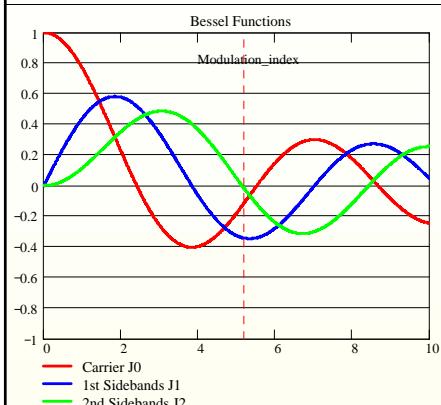
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$\beta=3.8$, first sideband null



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$\beta=5.1$, second sideband null

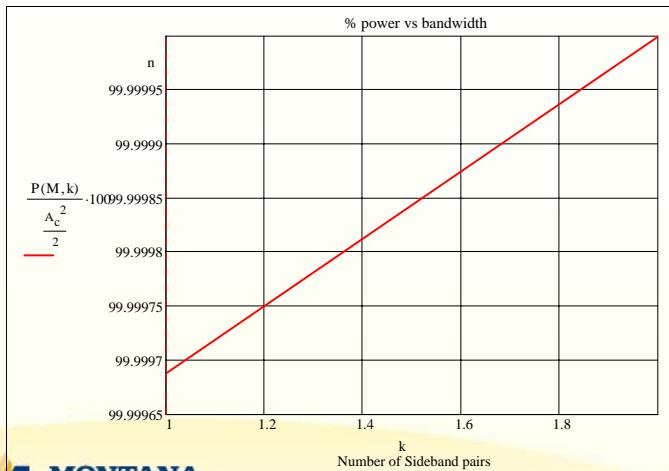


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Power vs BW, $\beta=0.1$

$$P(M,n) := \left(\frac{J_0(M)^2}{2} + \sum_{k=1}^n J_n(k,M)^2 \right)$$

second term includes power in $+J_n$ and power in $-J_n$, i.e. the upper and lower sideband pairs



M = 0.1

Fm = 1 Hz

Bandwidth = 2 Hz

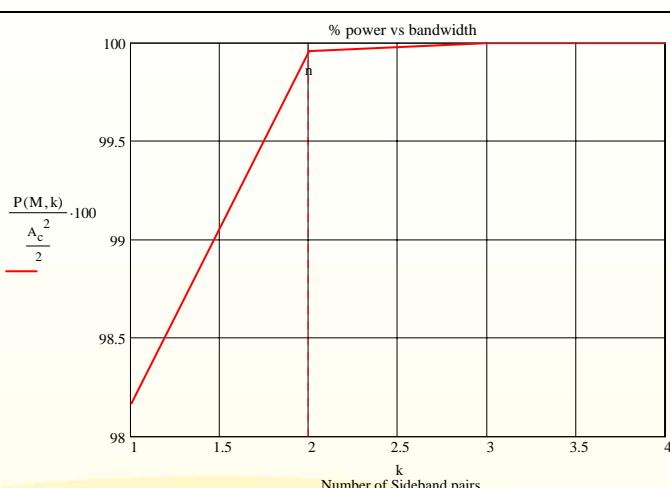
$$\frac{P(M,n)}{A_c^2} \cdot 100 = 100$$

$$\frac{A_c^2}{2}$$



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Power vs BW, $\beta=0.9$



M = 0.9

Fm = 1 Hz

Bandwidth = 4 Hz

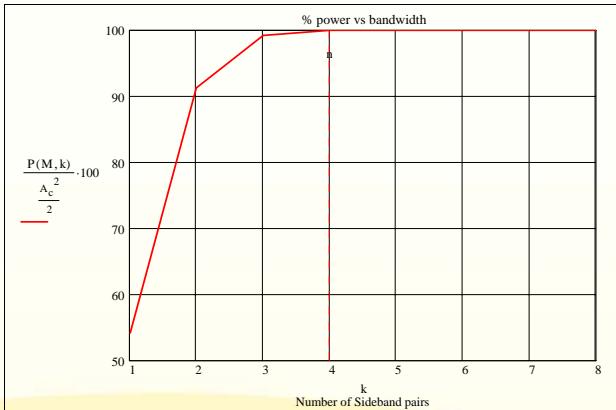
$$\frac{P(M,n)}{A_c^2} \cdot 100 = 99.958$$

$$\frac{A_c^2}{2}$$



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Power vs BW, $\beta=2.4$



M = 2.4

Fm = 1 Hz

Bandwidth = 8 Hz

$$\frac{P(M,n)}{A_c^2} \cdot 100 = 99.945$$
$$\frac{2}{2}$$



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