SOLID FINANCE$

# *Preparing to Meet with an Investment Advisor*

March 3, 2021

**Resources**

**Goals and Objectives**

1. What Investment Planning Goal(s) do you plan to accomplish during your meeting?
   - ☐ Retirement
   - ☐ Future Purchase
   - ☐ Education
   - ☐ Home Purchase
   - ☐ Other___________

2. What individuals will be participating in this process?
   - ☐ Yourself
   - ☐ Your Spouse
   - ☐ Other Family Members ______
   - ☐ Business Partners
   - ☐ Others _____

**What to Bring**

1. Demographic Information:
   - ☐ Age, Dependents, Employment, Business Ownership, etc.

2. Copy of your last Investment Plan (If applicable)

3. Financial Documents:
   - ☐ Retirement Plan Statement(s)
   - ☐ IRA Statements
   - ☐ Social Security Statement
   - ☐ General Investment Account Statement
   - ☐ College Savings Account Statements (529, etc.)
   - ☐ Bank Statements (Specifically Savings or CD)
   - ☐ Health Savings Account or Montana Medical Care Savings Account Statements
   - ☐ Life Insurance Policies

(OVER)

4. Listing of Assets:
    - ☐ Home
    - ☐ Cars
    - ☐ Mortgage
    - ☐ Business Assets
    - ☐ Other ___________

5. Debt
    - ☐ Mortgage
    - ☐ Student
    - ☐ Auto
    - ☐ Boat/RV/ATV
    - ☐ Other Property (Second Home, Lake Cabin)
    - ☐ Home Equity
    - ☐ Credit Card
    - ☐ Other __________

6. Income and Benefits
    - ☐ Recent Pay Stub
    - ☐ Last Tax Return
    - ☐ Employee Benefits (Life Insurance, Flex Plan, Employer Matching Formula, etc.)